



# Optimal flapping strokes for self-propulsion in a perfect fluid

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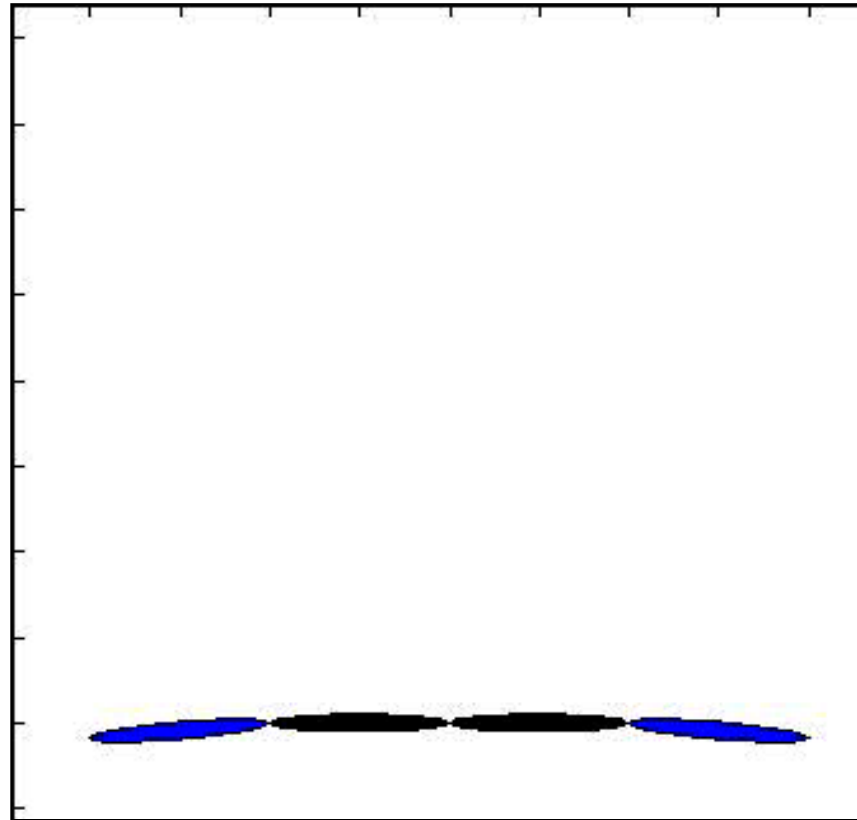
# In this talk

- Design and control of vehicles with articulated bodies
  - Jointed four-link model of self-propulsion via large shape changes
  - Geometric structure of propulsive shape change strokes
  - Efficient strokes: mechanical structure preserving optimal control code

# Locomotion model

## □ Symmetrical four-link model propelling from rest

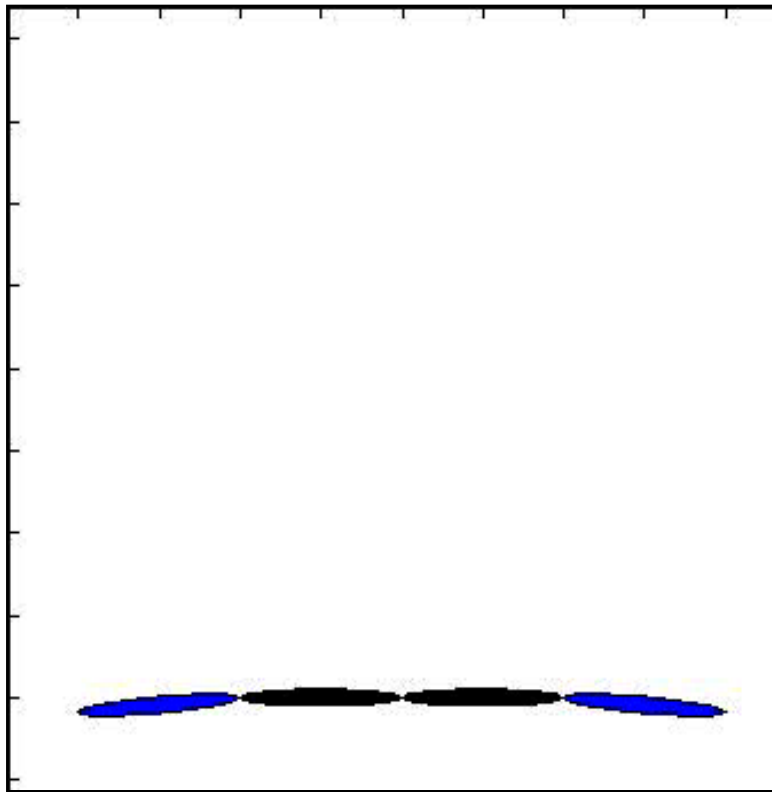
- vortex shedding not solely responsible for locomotion, as noted by Saffman [1967]
- applies methods used previously on three-link carangiform fish (Kanso et al. [2005])



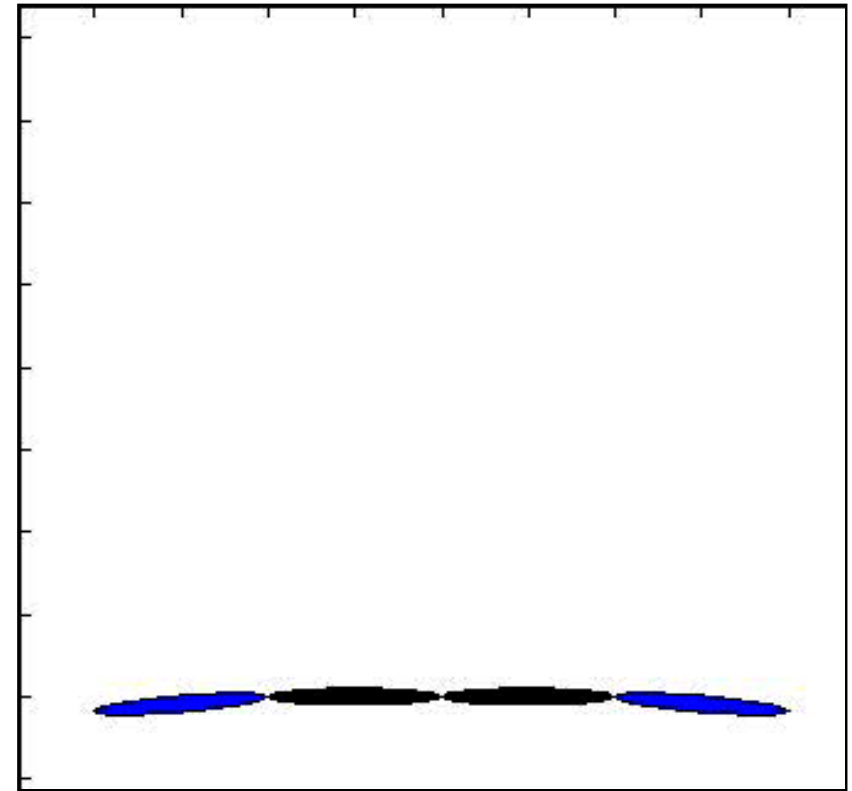
# Locomotion model

## □ example of “holonomy drive”

- seen in, e.g., self-propulsion of microorganisms at low Reynolds number
- **locomotion** based on sequence of shapes, not relative speed of shape change
- but, **control effort** is based on relative speed of shape change

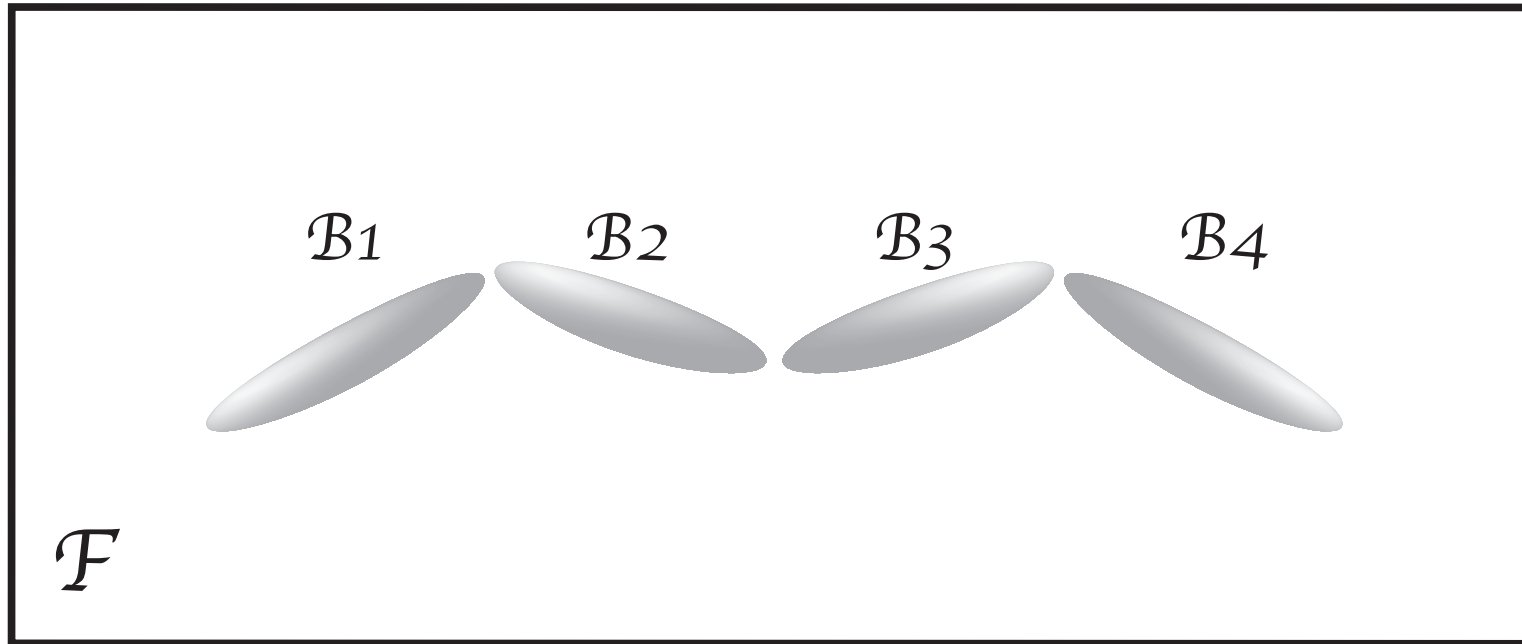


more efficient



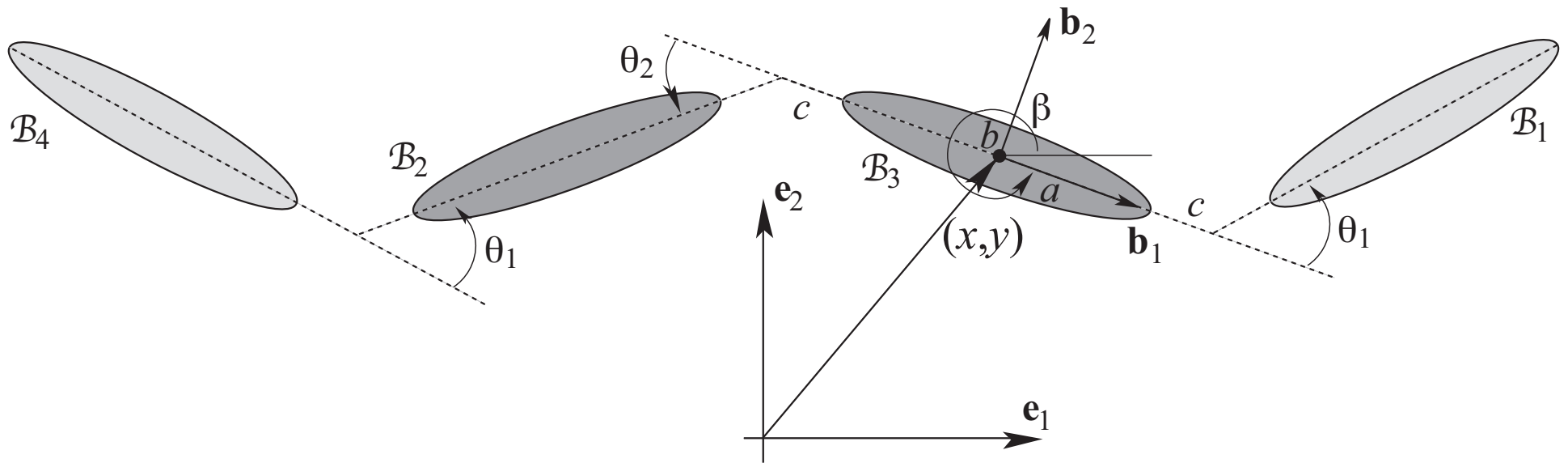
less efficient

# Four-link flapper model



- $\mathcal{F}$  is assumed to be inviscid, incompressible and irrotational for all time
- Potential flow ( $\mathbf{u} = \nabla\phi$ ,  $\nabla^2\phi = 0$ ) with slip across solid boundaries
- Articulated body of four 4 rigid links  $\mathcal{B}_i$  connected by hinge joints
- Bilaterally symmetric “flapping”: four links is minimum necessary for locomotion in potential flow; allows for non-reciprocal shape changes

# Four-link flapper model



- Neutrally buoyant identical links
- Links: slender ellipsoidal geometry with axes  $a, b$ , where  $b/a \ll 1$
- Joints: equipped with muscles which generate torques to achieve a desired stroke
- $g = (\beta, x, y)$ , orientation, position of  $\mathcal{B}_3$  w.r.t.  $\{e_1, e_2\}$  – **net locomotion variables**
- $\theta = (\theta_1, \theta_2)$ , orientation of  $\mathcal{B}_1, \mathcal{B}_2$ , and  $\mathcal{B}_4$  relative to  $\mathcal{B}_3$  – **shape space variables**

## Solid-fluid Lagrangian $L = T_s + T_f$

- Lagrangian = solid + fluid kinetic energy,

$$L = \sum_{i=1}^4 T_{\mathcal{B}_i} + T_f = \frac{1}{2} \sum_{i=1}^4 \xi_i^T \mathbb{I} \xi_i,$$

with  $\xi_i = (\Omega_i, v_i)^T$  the velocity of the link  $\mathcal{B}_i$  w.r.t. the  $\mathcal{B}_i$ -fixed frame and  $\mathbb{I}_{ij} = \mathbb{I}$ , including the added inertia, is the same for all links.

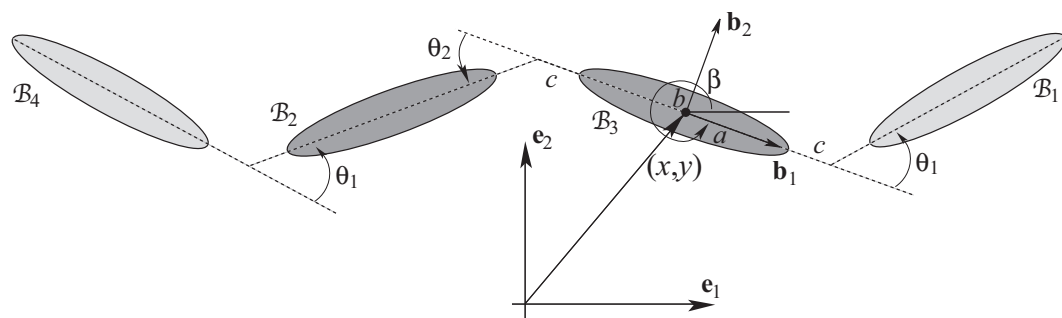
- The Lagrange-d'Alembert variational principle yields the forced Euler-Lagrange equations:

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{g}_i} \right) - \frac{\partial L}{\partial g_i} = 0, \quad i = 1, 2, 3, \quad (1)$$

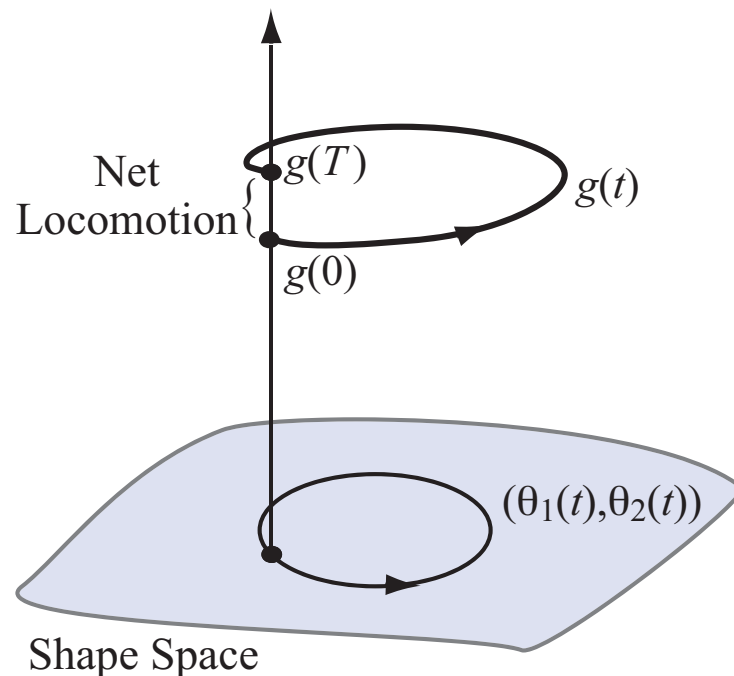
$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\theta}_i} \right) - \frac{\partial L}{\partial \theta_i} = \tau_i, \quad i = 1, 2, \quad (2)$$

where the internal torques  $\tau(t)$  are exerted by actuators (or muscles) associated with the joints.

# Geometric mechanics description



$g(t) = (\beta(t), x(t), y(t))$  net locomotion variables  
 $\theta(t) = (\theta_1(t), \theta_2(t))$  shape space variables



- When the motion starts from rest, (1) yields

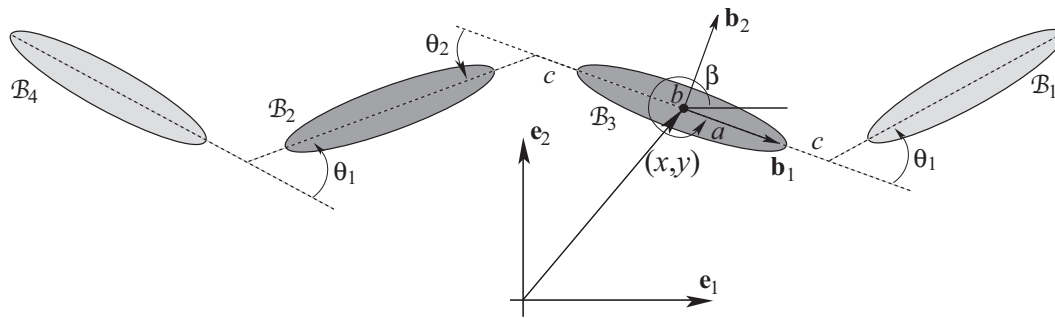
$$g^{-1}\dot{g} = -\mathcal{A}(\theta)\dot{\theta}, \quad (3)$$

where  $g \in SE(2)$ , the group of rotations and translations in  $\mathbb{R}^2$ .

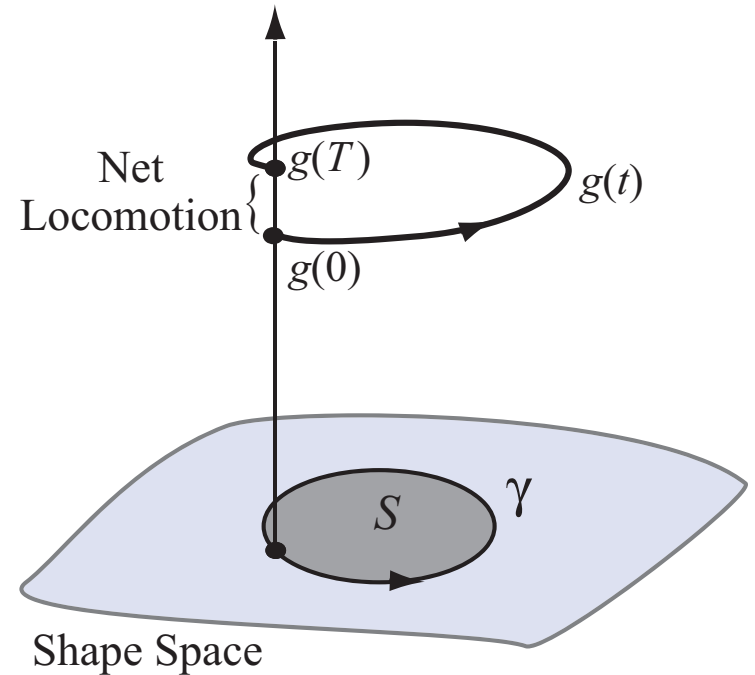
- Given a curve  $\theta(t) = (\theta_1(t), \theta_2(t))$ ,  $t \in [0, T]$ , we solve (3) for  $g(t) = (\beta(t), x(t), y(t))$  and solve (2) for the torques  $\tau(t)$



# Stroke: closed loop in shape space



$g(t) = (\beta(t), x(t), y(t))$  net locomotion variables  
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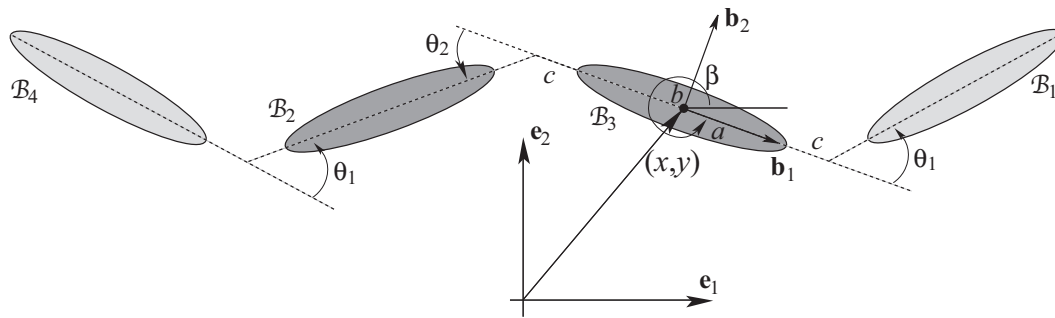
- A **stroke**: if  $\theta(t)$  traces out a **closed loop**  $\gamma$  in shape space  $\Theta$  from time 0 to  $T$ ,

$$g(T) = g(0) \exp \left( - \int_S d\mathcal{A}(\theta) \right).$$

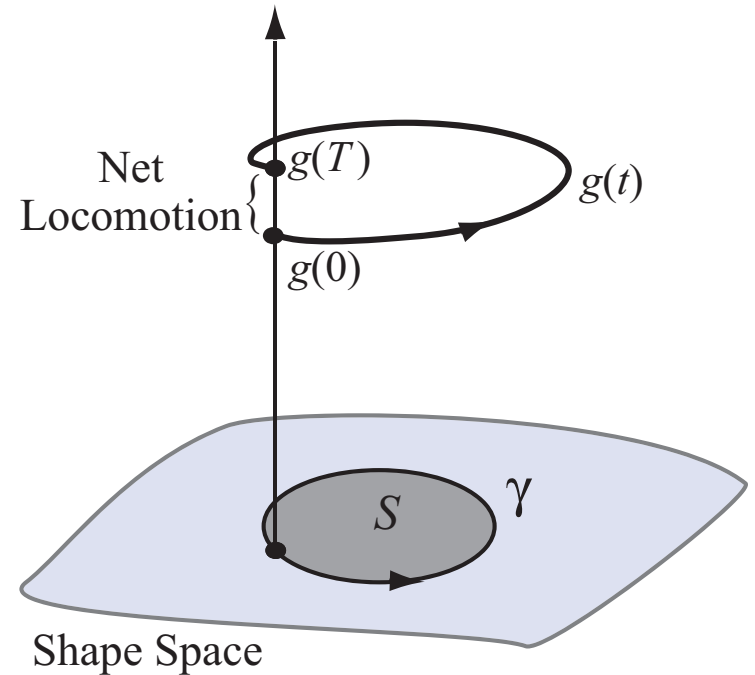
where  $S$  is the region of  $\Theta$  whose boundary is the loop  $\gamma$

- Note: independent of time parametrization of curve  $\gamma$

# Net locomotion from one stroke

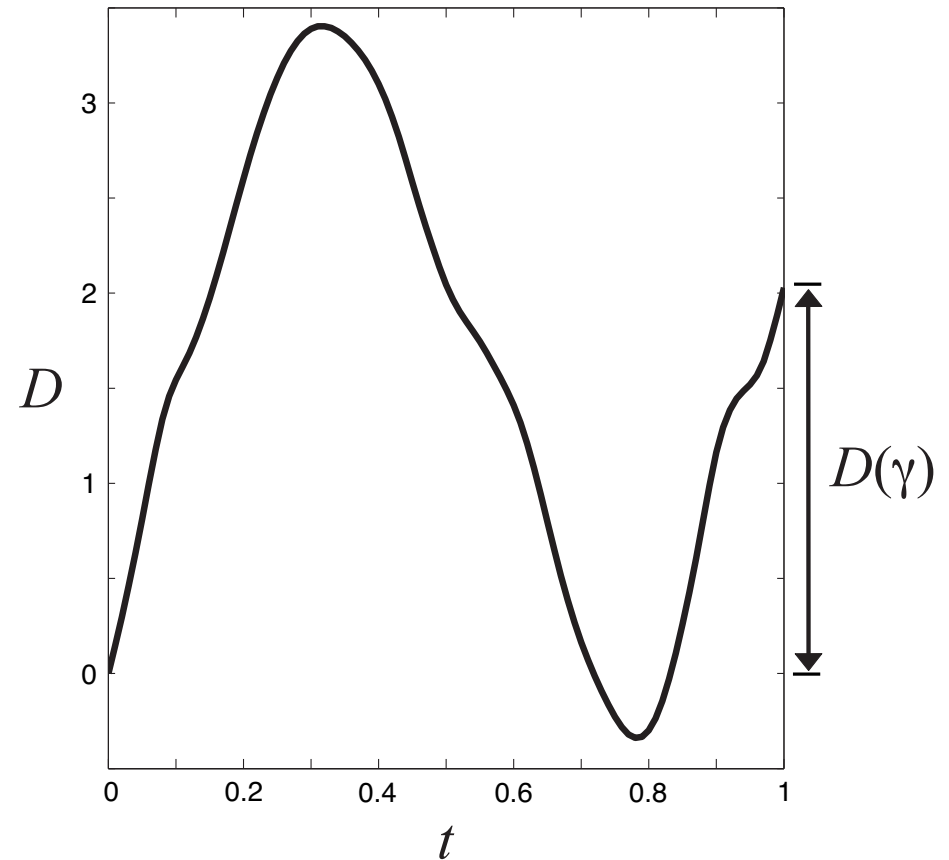
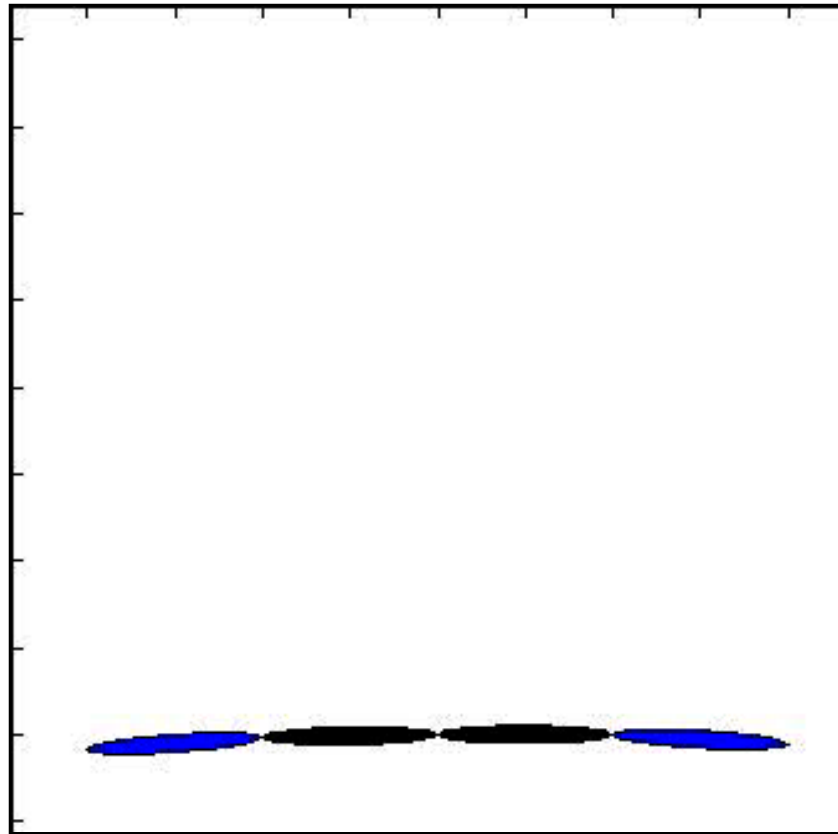


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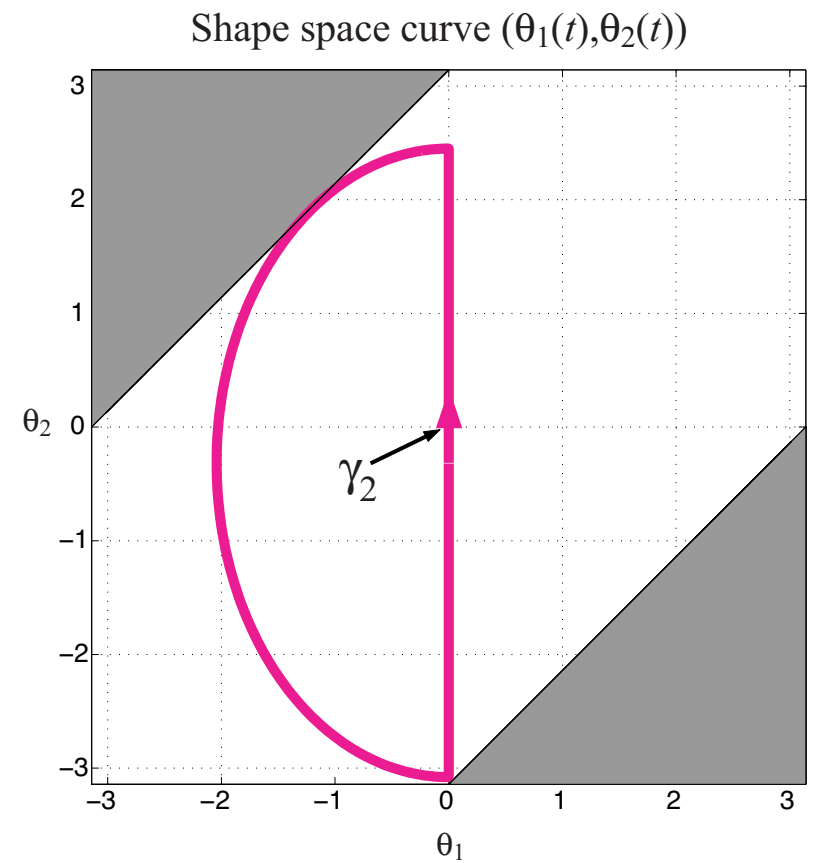
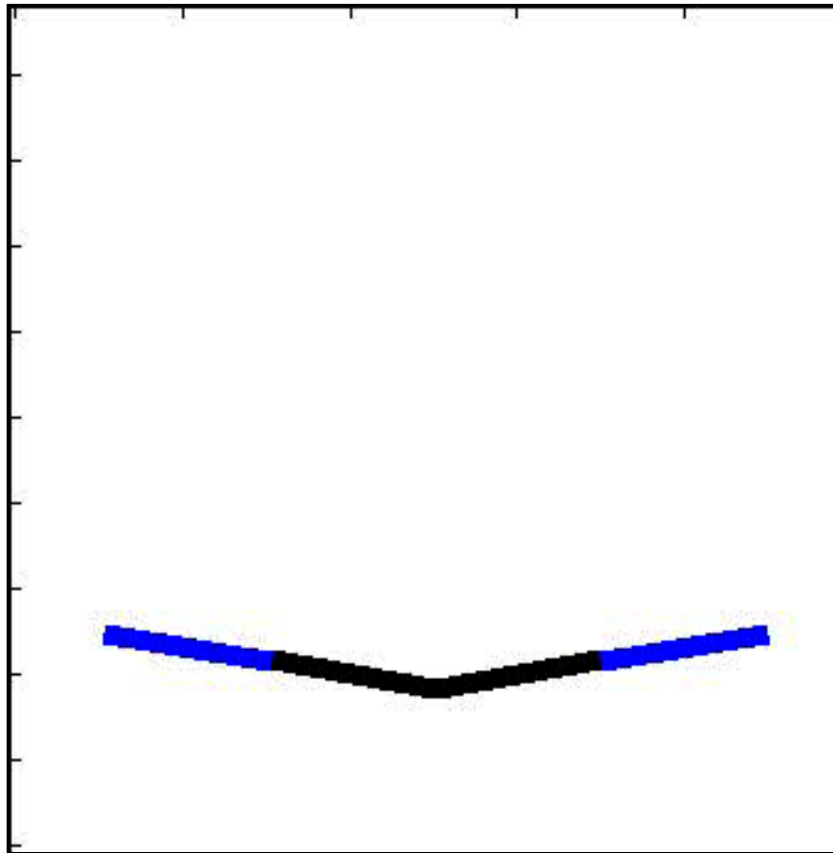
- i.e., **net locomotion** achieved,  $g(T) - g(0)$ , is a function of the loop geometry only (not on the instantaneous speeds along which the loop is traversed)

# Net locomotion from one stroke



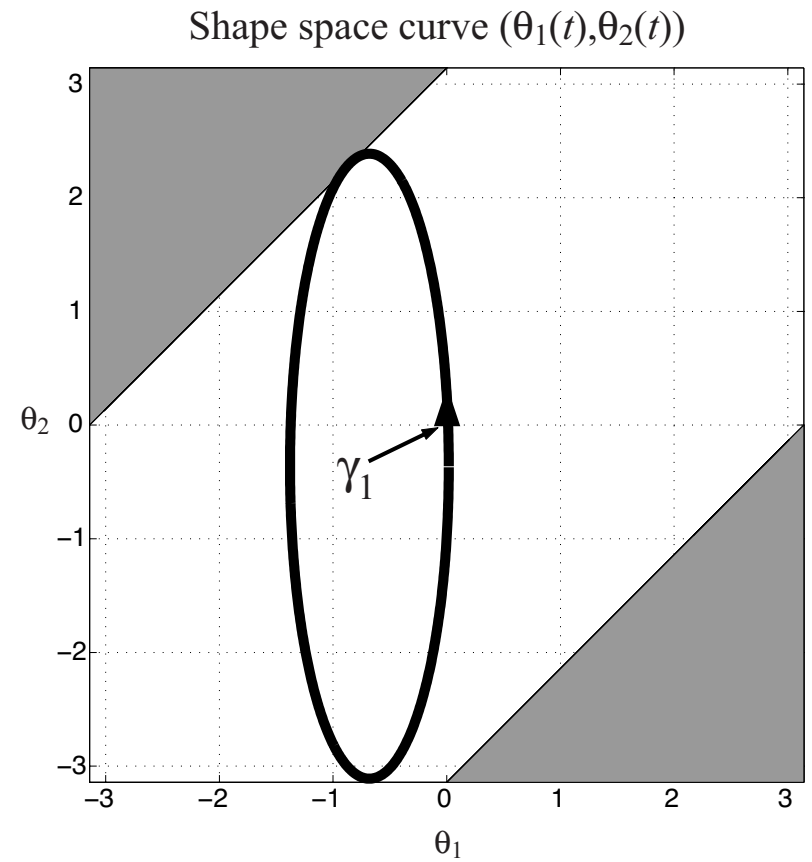
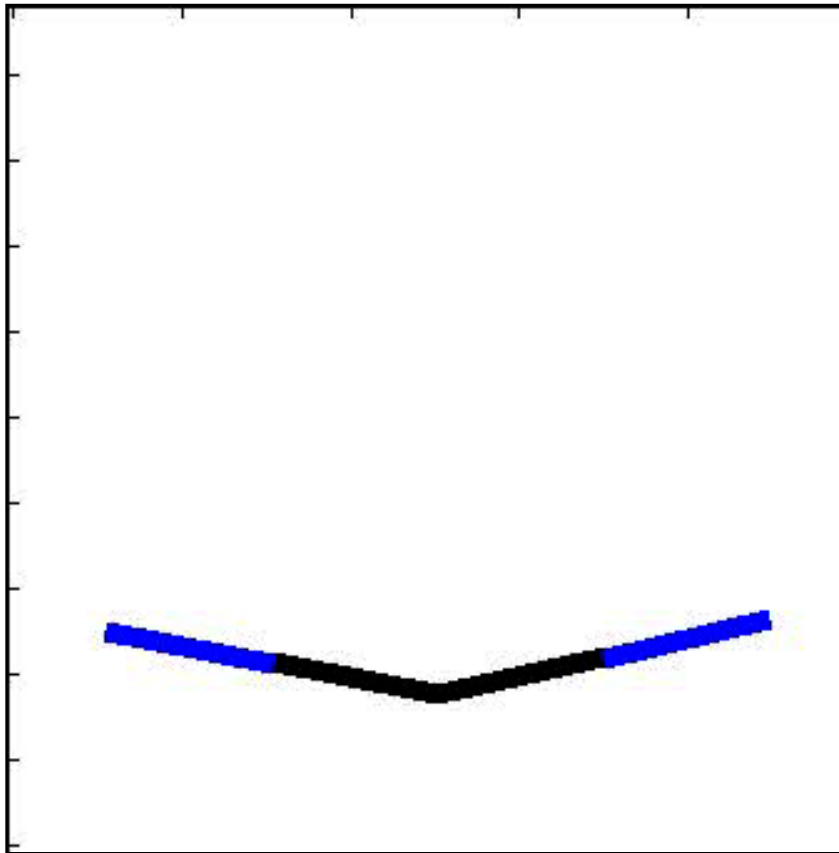
- When a flapper has completed one stroke, it is back to its original shape, but has translated a distance  $D(\gamma)$
- $D(\gamma) = D([\gamma])$  where  $[\gamma]$  = equivalence class of strokes w.r.t. time reparametrization

# Example stroke loops $\gamma$



- simple expressions for closed curves  $(\theta_1(t), \theta_2(t))$

# Example stroke loops $\gamma$



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# Optimal stroke loops

- Optimal strokes minimize the (torque) control effort per unit distance travelled

$$\delta(\gamma) = W(\gamma)/D([\gamma])$$

where

$$W(\gamma) = \int_0^T |\tau|^2 dt,$$

# Optimal stroke loops

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- Approximate  $q(t) = (g(t), \theta(t))$  by a discrete path  $q_n$  at  $t_n = \{0, h, 2h, \dots, Nh\}$   
And approximate control  $\tau(t)$  by discrete torques  $\tau_n$ .
- Use DMOC (Discrete Mechanics & Optimal Control) algorithm of Junge, et al. [2005]
- Based on discretization of Lagrange D'Alembert variational principle  
⇒ discrete forced Euler-Lagrange equations
- Preserves mechanical structure; conserves momentum

# Optimization via DMOC

- Search over initial curves  $\gamma_{\text{init}}$
- DMOC algorithm

Minimize discrete cost function

$$\delta_d = \sum_n C_d(q_n, \tau_n, t_n) = \sum_n \left( \sum_i \tau_{ni}^2 \right) h$$

subject to forced Euler-Lagrange equations  
(as nonlinear equality constraints)

$$D_2 L_d(q_{n-1}, q_n) + D_1 L_d(q_n, q_{n+1}) + \tau_{n-1} + \tau_n = 0$$
$$p_0 + D_1 L_d(q_0, q_1) + \tau_0 = 0$$
$$-p_1 + D_2 L_d(q_{N-1}, q_N) + \tau_{N-1} = 0$$

$\gamma_{\text{init}} \implies$   $\implies \gamma_{\text{opt}}$



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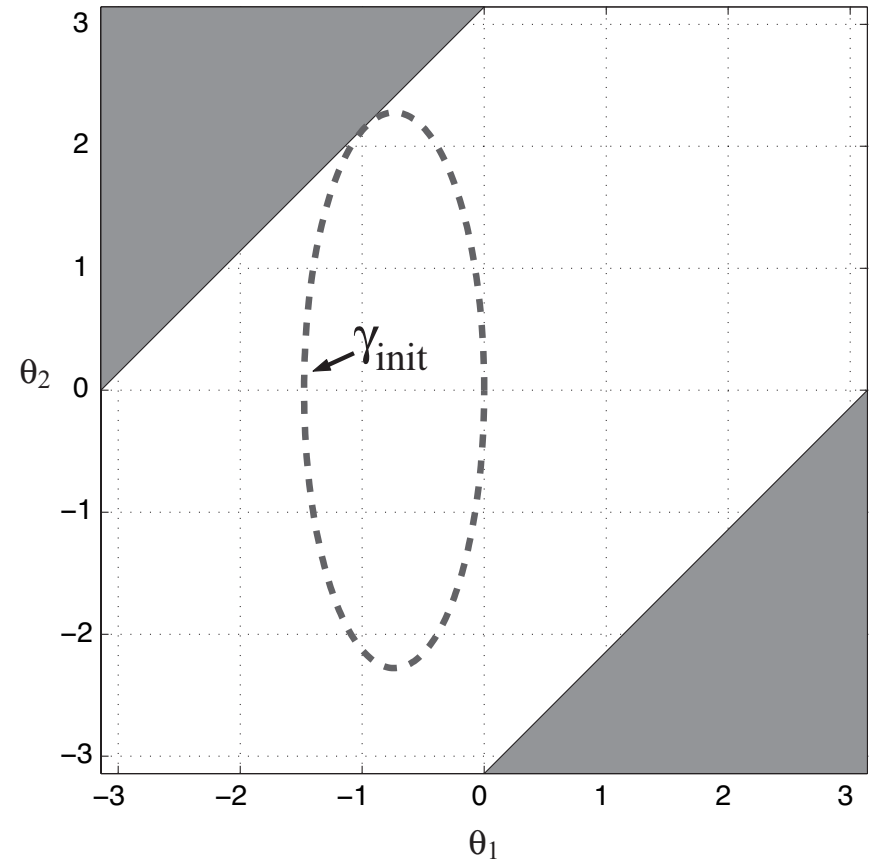
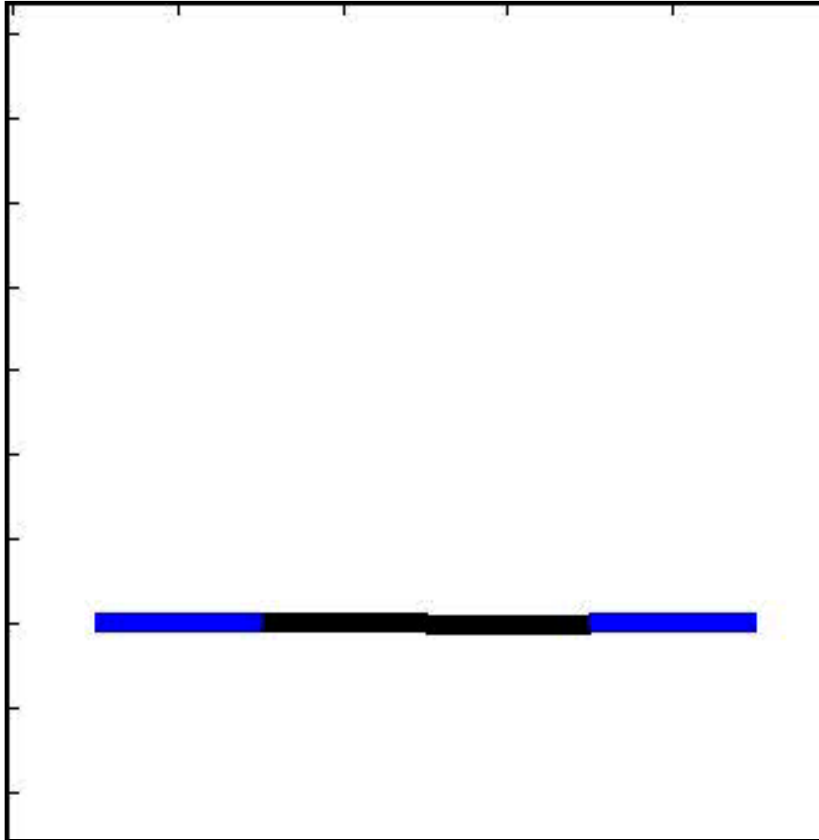
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$\implies \gamma_{\text{opt}}$

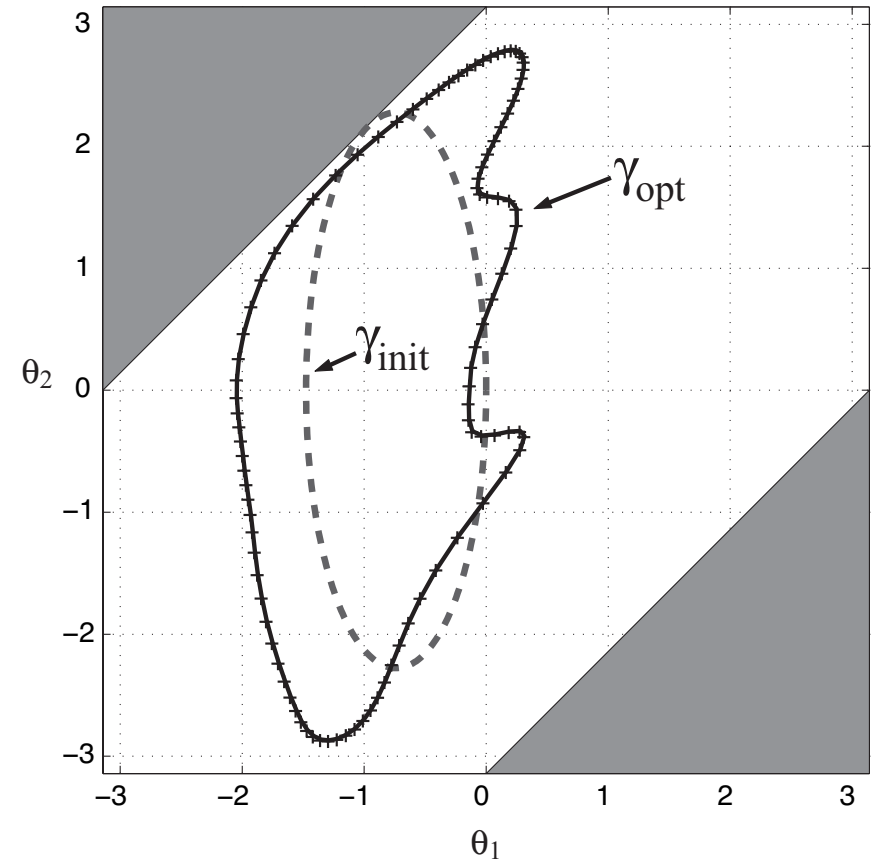
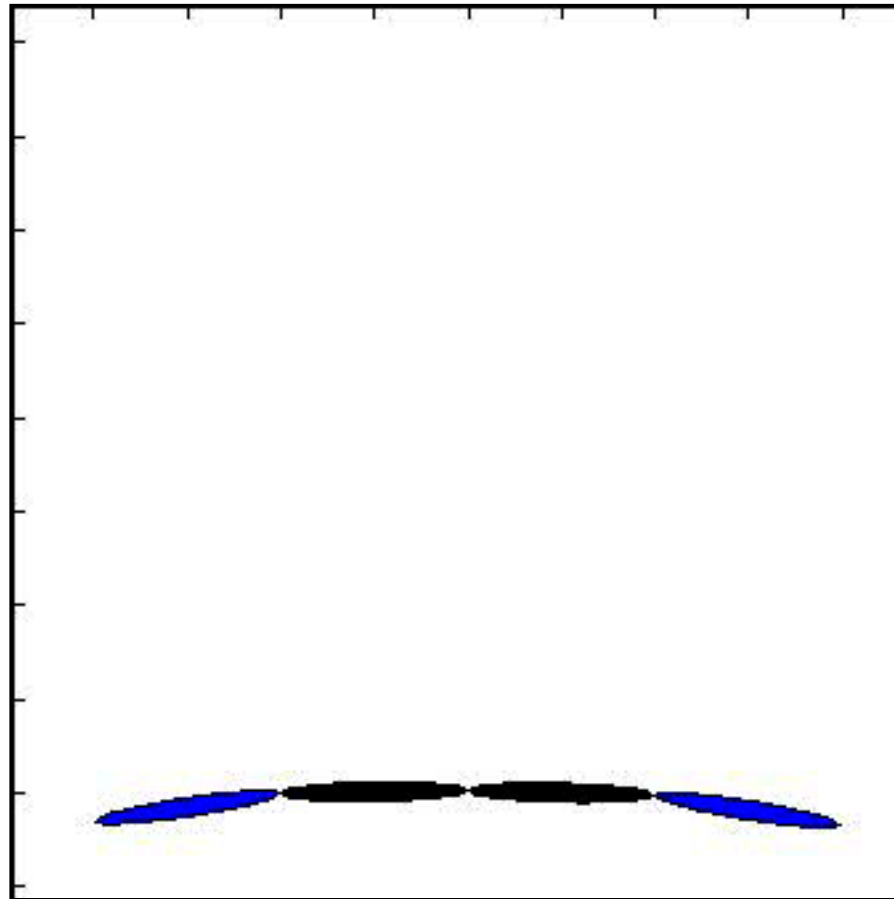
- Implemented using SQP package of Matlab
- With  $N = 100$ , optimization usually takes a few minutes

# Optimization via DMOC



$$\gamma_{init} \implies \boxed{\begin{array}{l} \text{Minimize discrete version of } \delta(\gamma) \\ \text{subject to discrete forced Euler-Lagrange eqs} \end{array}} \implies \gamma_{opt}$$

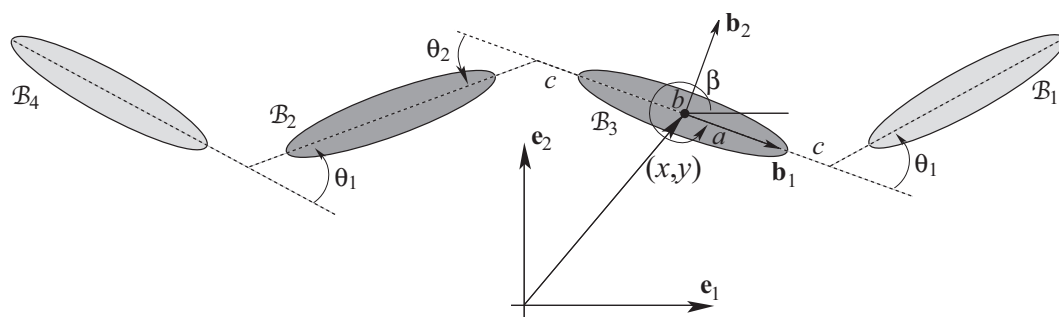
# Optimization via DMOC



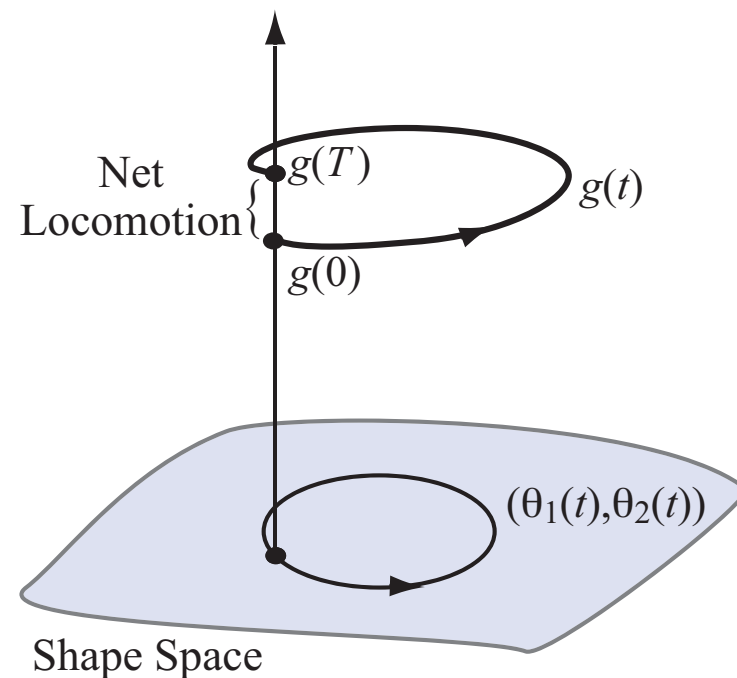
$\gamma_{\text{init}} \implies$  Minimize discrete version of  $\delta(\gamma)$   
subject to discrete forced Euler-Lagrange eqs  $\implies \gamma_{\text{opt}}$

# Summary

- Developed a jointed four-link model of self-propulsion via cyclic strokes in a 2D perfect fluid.



$g(t) = (\beta(t), x(t), y(t))$  net locomotion variables  
 $\theta(t) = (\theta_1(t), \theta_2(t))$  shape space variables



# Summary

- Determined which stroke yields the greatest locomotive efficiency, minimizing the control effort (muscle activity) per unit distance traveled.

